

# EXHIBIT A

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008<sup>1</sup>**

**NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM**

October 19, 2009

Richard E. Winter Revocable Trust  
[REDACTED]  
[REDACTED]

Dear Richard E. Winter:

**PLEASE READ THIS NOTICE CAREFULLY.**

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa et seq. ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim on BLMIS Account No. 1W0102 designated as Claim Number 007053:

Your claim for securities is **DENIED**. No securities were ever purchased for your account.

Further, based on the Trustee's analysis, the amount of money you withdrew from your account at BLMIS (total of \$5,296,000.00), as more fully set forth in Table 1 annexed hereto and made a part hereof, is greater than the amount that was deposited with BLMIS for the purchase of securities (total of \$4,515,685.90). As noted, no securities were ever purchased by BLMIS for your account. Any and all profits reported to you by BLMIS on account statements were fictitious.

---

<sup>1</sup> Section 78lll(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78lll(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

Since there were no profits to use either to purchase securities or to pay you any money beyond the amount that was deposited into your BLMIS account, the amount of money you received in excess of the deposits in your account (\$780,314.10) was taken from other customers and given to you. Accordingly, because you have withdrawn more than was deposited into your account, you do not have a positive "net equity" in your account and you are not entitled to an allowed claim in the BLMIS liquidation proceeding. Therefore, your claim is **DENIED** in its entirety.

Should a final and unappealable court order determine that the Trustee is incorrect in his interpretation of "net equity" and its corresponding application to the determination of customer claims, the Trustee will be bound by that order and will apply it retroactively to all previously determined customer claims in accordance with the Court's order. Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by you in having your customer claim re-determined in accordance with any such Court order.

Nothing in this Notice of Trustee's Determination of Claim shall be construed as a waiver of any rights or claims held by the Trustee against you.

**PLEASE TAKE NOTICE:** If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you **MUST** file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching copies of any documents in support of your position, with the United States Bankruptcy Court and the Trustee within **THIRTY DAYS** after October 19, 2009, the date on which the Trustee mailed this notice.

**PLEASE TAKE FURTHER NOTICE:** If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

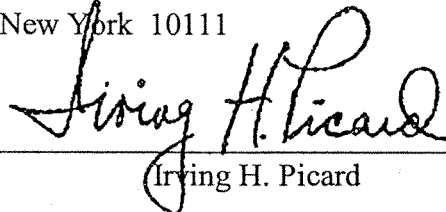
**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for  
the Southern District of New York  
One Bowling Green  
New York, New York 10004

and

Irving H. Picard, Trustee  
c/o Baker & Hostetler LLP  
45 Rockefeller Plaza  
New York, New York 10111



---

Irving H. Picard

Trustee for the Liquidation of the Business of  
Bernard L. Madoff Investment Securities LLC

cc: Carole Neville  
Sonnenschein Nath & Rosenthal LLP  
1221 Avenue of the Americas, 25<sup>th</sup> Floor  
New York, NY 10020

Table 1		
DEPOSITS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
7/6/1998	CHECK WIRE	\$2,000,000.00
6/7/1999	CHECK WIRE	\$1,000,000.00
4/10/2001	CHECK	\$700,000.00
4/27/2001	CHECK	\$250,000.00
10/14/2005	CHECK WIRE	\$557,969.29
10/17/2005	CHECK WIRE	\$7,716.61
<b>Total Deposits:</b>		<b>\$4,515,685.90</b>
WITHDRAWALS		
DATE	TRANSACTION DESCRIPTION	AMOUNT
3/7/2001	CHECK	(\$100,000.00)
3/15/2001	CHECK	(\$50,000.00)
8/8/2002	CHECK	(\$100,000.00)
9/18/2002	CHECK	(\$200,000.00)
12/9/2002	CHECK	(\$150,000.00)
4/3/2003	CHECK	(\$100,000.00)
6/10/2003	CHECK	(\$100,000.00)
8/13/2003	CHECK	(\$100,000.00)
9/23/2003	CHECK	(\$100,000.00)
10/20/2003	Alix: No desc provided	(\$50,000.00)
11/14/2003	CHECK	(\$50,000.00)
12/9/2003	CHECK	(\$50,000.00)
1/15/2004	CHECK	(\$146,000.00)
2/5/2004	CHECK	(\$50,000.00)
3/9/2004	CHECK	(\$50,000.00)
4/8/2004	CHECK	(\$50,000.00)
4/14/2004	CHECK	(\$100,000.00)
5/14/2004	CHECK	(\$50,000.00)
6/2/2004	CHECK	(\$40,000.00)
6/7/2004	CHECK	(\$50,000.00)
6/29/2004	CHECK	(\$50,000.00)
7/21/2004	CHECK	(\$50,000.00)
8/24/2004	CHECK	(\$50,000.00)
8/26/2004	CHECK	(\$50,000.00)
10/7/2004	CHECK	(\$50,000.00)
11/2/2004	CHECK	(\$50,000.00)
12/7/2004	CHECK	(\$50,000.00)
1/11/2005	CHECK	(\$100,000.00)
2/4/2005	CHECK	(\$40,000.00)
2/25/2005	CHECK	(\$100,000.00)
3/29/2005	CHECK	(\$75,000.00)
4/19/2005	CHECK	(\$50,000.00)

5/16/2005	CHECK	(\$40,000.00)
6/9/2005	CHECK	(\$80,000.00)
6/13/2005	CHECK	(\$30,000.00)
7/6/2005	CHECK	(\$30,000.00)
8/2/2005	CHECK	(\$30,000.00)
8/22/2005	CHECK	(\$40,000.00)
9/7/2005	CHECK	(\$90,000.00)
9/29/2005	CHECK	(\$300,000.00)
10/12/2005	CHECK	(\$70,000.00)
11/15/2005	CHECK	(\$50,000.00)
12/12/2005	CHECK	(\$50,000.00)
1/10/2006	CHECK	(\$100,000.00)
2/6/2006	CHECK	(\$50,000.00)
2/24/2006	CHECK	(\$50,000.00)
3/14/2006	CHECK	(\$50,000.00)
4/13/2006	CHECK	(\$50,000.00)
5/19/2006	CHECK	(\$40,000.00)
6/6/2006	CHECK	(\$70,000.00)
6/20/2006	CHECK	(\$40,000.00)
7/19/2006	CHECK	(\$40,000.00)
8/11/2006	CHECK	(\$60,000.00)
9/21/2006	CHECK	(\$70,000.00)
10/3/2006	CHECK	(\$50,000.00)
10/20/2006	CHECK	(\$50,000.00)
11/24/2006	CHECK	(\$40,000.00)
12/12/2006	CHECK	(\$50,000.00)
1/9/2007	CHECK	(\$75,000.00)
1/22/2007	CHECK	(\$50,000.00)
2/6/2007	CHECK	(\$50,000.00)
3/14/2007	CHECK	(\$60,000.00)
4/4/2007	CHECK	(\$50,000.00)
4/9/2007	CHECK	(\$50,000.00)
5/8/2007	CHECK	(\$50,000.00)
6/6/2007	CHECK	(\$50,000.00)
6/26/2007	CHECK	(\$40,000.00)
7/10/2007	CHECK	(\$40,000.00)
8/3/2007	CHECK	(\$50,000.00)
8/13/2007	CHECK	(\$20,000.00)
10/4/2007	CHECK	(\$40,000.00)
11/2/2007	CHECK	(\$40,000.00)
12/4/2007	CHECK	(\$50,000.00)
12/20/2007	CHECK	(\$20,000.00)
1/7/2008	CHECK	(\$80,000.00)
1/31/2008	CHECK	(\$40,000.00)
3/4/2008	CHECK	(\$40,000.00)
4/2/2008	CHECK	(\$40,000.00)
5/7/2008	CHECK	(\$40,000.00)

6/2/2008	CHECK	(\$40,000.00)
6/12/2008	CHECK	(\$40,000.00)
7/1/2008	CHECK	(\$20,000.00)
7/14/2008	CHECK	(\$20,000.00)
8/4/2008	CHECK	(\$40,000.00)
8/15/2008	CHECK	(\$40,000.00)
10/15/2008	CHECK	(\$30,000.00)
11/14/2008	CHECK	(\$30,000.00)
12/4/2008	CHECK	(\$40,000.00)
<b>Total Withdrawals:</b>		(\$5,296,000.00)
<b>Total deposits less withdrawals:</b>		(\$780,314.10)

# **EXHIBIT B**



COPY

CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

BERNARD L. MADOFF INVESTMENT SECURITIES LLC **RECEIVED**

MAR 13 2009

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: (212) 768-6889

HOME: \_\_\_\_\_

Taxpayer I.D. Number (Social Security No.)  
\_\_\_\_\_

Account Number: 1-W0102-3 & 1-W0102-4

Richard E. Winter Revocable Trust  
\_\_\_\_\_  
\_\_\_\_\_

(If incorrect, please change)

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

\*\*\*\*\*

1. Claim for money balances as of **December 11, 2008**:

- a. The Broker owes me a Credit (Cr.) Balance of \$ \_\_\_\_\_
- b. I owe the Broker a Debit (Dr.) Balance of \$ \_\_\_\_\_

- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ \_\_\_\_\_

- d. If balance is zero, insert "None." \_\_\_\_\_ NONE

2. Claim for securities as of **December 11, 2008:**

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |                                         | <u>YES</u> | <u>NO</u> |
|-----------------------------------------|------------|-----------|
| a. The Broker owes me securities        | <u>✓</u>   | _____     |
| b. I owe the Broker securities          | _____      | <u>✓</u>  |
| c. If yes to either, please list below: |            |           |

Date of Transaction (trade date)	Name of Security	<u>Number of Shares or Face Amount of Bonds</u>	
		<u>The Broker Owes Me (Long)</u>	<u>I Owe the Broker (Short)</u>
<u>SEE STATEMENT DATED NOVEMBER 30, 2008</u>	_____	<u>✓</u>	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____✓
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____✓
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____✓
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	_____✓
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____✓
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____✓

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. \_\_\_\_\_ ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Carole Neville, Sonnenschein Nath & Rosenthal LLP, 1221 Avenue of the Americas, 25<sup>th</sup> Floor, New York, NY 10020.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. \***

Date March 11, 2009 Signature NE Neville  
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

\* This form includes and incorporates in full the attached Addendum. Customer reserves the right to amend this form for any purpose, including, without limitation, to add interest, costs and other losses associated with this account.

**ADDENDUM**

**Customer:** Richard E. Winter Revocable Trust  
**Address:** [REDACTED]  
**Account #:** 1-W0102-3-0; 1-W0102-4-0

Document index:<sup>1</sup>

A. Statement dated November 30, 2008	\$5,642,349.88
B. Investment Documents	
1. Bank Statement dated as of 7/31/98	\$2,000,000.00
2. Bank Statement dated as of 6/30/99	\$1,000,000.00
3. 2/01 - Missing Documents	\$950,000.00
4. Portfolio Management Report dated as of 12/31/05	\$565,685.90
C. Transfer documents	
1. Bank Statement dated as of 4/30/03	(\$100,000.00)
2. Bank Statement dated as of 8/29/03	(\$100,000.00)
3. Portfolio Management Report dated as of 12/31/04	(\$886,000.00)
4. Portfolio Management Report dated as of 12/31/05	(\$1,175,000.00)
5. Portfolio Management Report dated as of 12/31/06	(\$810,000.00)
6. Portfolio Management Report dated as of 12/31/07	(\$735,000.00)
7. Portfolio Management Report dated as of 3/31/08	(\$160,000.00)
8. Statement dated as of 4/30/08	(\$40,000.00)
9. Statement dated as of 5/31/08	(\$40,000.00)
10. Statement dated as of 6/30/08	(\$80,000.00)
11. Statement dated as of 7/31/08	(\$40,000.00)
12. Statement dated as of 8/31/08	(\$80,000.00)

<sup>1</sup> Note that certain documents containing personal information of the claimant or related parties have been redacted for the protection and privacy of the claimant and/or such related parties.

- |                                    |               |
|------------------------------------|---------------|
| 13. Statement dated as of 10/31/08 | (\$30,000.00) |
| 14. Statement dated as of 11/30/08 | (\$30,000.00) |

Total Investments: \$4,515,685.90

Total Withdrawals: \$4,306,000.00

**STATEMENT DATED NOVEMBER 30, 2008**

Madoff Securities International Limited  
12 Berkeley Street  
Boston, London W 1J 8BJ  
Tel: 020 7611 0022

RICHARD L. MADOFF  
STUDENT SECURITIES LLC  
York - London

DECLARATION FOR ACCOUNT RICHARD E. WINTER 888-3061  
8 MYERS ESQ  
WATERHOUSE COOPERS

YOUR ACCOUNT NUMBER  
1-W0102-3-0

PERIOD ENDING  
11/30/09

PAGE  
1

YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

DATE	BOUGHT RECEIVED	SOLO DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	5,124		670	BALANCE FORWARD		344,411.52	
11/12	3,660		1172	WELLS FARGO & CO NEW	29.800	152,899.20	
11/12	3,172		4996	HEWLETT PACKARD CO	34.900	127,890.00	
11/12	2,074		5498	WAL-MART STORES INC	55.910	177,218.76	
11/12	7,686		9322	INTERNATIONAL BUSINESS MACHS	87.270	181,079.98	
11/12	8,418		9824	EXXON MOBIL CORP	72.980	560,462.68	
11/12	4,026		14150	INTEL CORP	14.510	122,481.18	
11/12	5,490		19475	JOHNSON & JOHNSON	59.590	249,030.08	
11/12	2,928		22801	J.P. MORGAN CHASE & CO	38.530	211,748.70	
11/12	1,709		27127	COCA COLA CO	44.660	130,881.49	
11/12	3,172		31453	MC DONALDS CORP	55.370	94,639.96	
11/12	11,590		35779	MERCK & CO	28.550	90,686.60	
11/12	5,856		40105	MICROSOFT CORP	21.810	253,240.90	
11/12	2,318		53083	ORACLE CORPORATION	17.300	101,542.80	
11/12	1,342		53585	PEPSICO INC	56.410	130,850.38	
11/12	9,882		57409	APPLE INC	100.780	135,299.76	
11/12	2,318		57911	PFIZER INC	16.940	167,795.08	
11/12	4,392		61735	ABBOTT LABORATORIES	54.610	126,677.99	
11/12	1,586		62237	PROCTER & GAMBLE CO	64.080	281,614.36	
11/12	3,050		66051	AMGEN INC	59.160	93,890.76	
11/12	7,320		66563	PHILLIP MORRIS INTERNATIONAL	43.600	133,102.00	
11/12	2,440		70387	BANK OF AMERICA	21.590	158,330.90	
11/12	7,930		70889	QUALCOMM INC	33.770	82,495.80	
11/12				CITY GROUP INC	12.510	99,521.30	
				CONTINUED ON PAGE 2			



MADE

\*\*DUPLICATE\*\* FOR ACCOUNT RICHARD E WINTER REVOLVABLE CREDIT  
 LORI S MYERS ESQ  
 PRICEWATERHOUSECOOPERS

PAGE 13

11/22/03  
DANIEL S. DOWELL

YOUR TAXPAYER IDENTIFICATION NUMBER

1-40102-3-9  
YOUR ACCOUNT NUMBER

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	1,830		74713	SCHLUMBERGER LTD	49.480	90,621.40	
11/12	5,392		75215	COMCAST CORP CL A	16.510	72,686.92	
11/12	8,662		79039	AT&T INC	27	234,220.00	
11/12	2,196		79541	CONGOCOPHILIPS	52.510	115,399.96	
11/12	1,464		83365	UNITED PARCEL SVC INC CLASS B	52.040	76,244.56	
11/12	8,906		83867	CISCO SYSTEMS INC	16.730	149,353.38	
11/12	2,562		97691	U S BANCORP	29.530	75,757.86	
11/12	3,050		98193	CHEVRON CORP	73.430	224,083.50	
11/12	1,464		92017	UNITED TECHNOLOGIES CORP	53.160	77,884.24	
11/12	15,494		92519	GENERAL ELECTRIC CO	19.630	304,765.22	
11/12	4,148		96343	VERIZON COMMUNICATIONS	30.410	126,305.68	
11/12	366		96845	GOOGLE	337.400	123,502.40	
11/12		5,475,000	23278	U S TREASURY BILL DUE 2/12/2009	99.936		5,471,496.00
11/12				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV			40.36
11/12		94,362	18380	DIV 11/12/09 FIDELITY SPARTAN	1		94,352.00
11/12	16,058		27748	U S TREASURY MONEY MARKET FIDELITY SPARTAN	1		
11/14		1,000	29474	U S TREASURY MONEY MARKET COMCAST CORP CL A	15.850		15,310.00
CONTINUED ON PAGE 3							

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York NY London

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343

FOR ACCOUNT RICHARD = WINTER REVOCABLE TRST  
LORI B MYERS ESQ  
PRICEWATERHOUSECOOPERS

Account with  
Madoff Securities International Limited  
12 Boulevard des Capucines  
Maison, London W1J 0PT  
Tel: 020 7601 6022

YOUR ACCOUNT NUMBER 1-W0102-3-0	PERIOD ENDING 11/30/08	PAGE 3
YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]		

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDIT TO YOUR ACCOUNT
11/14				CHECK	CW	30,000.00	
11/14				FIDELITY SPARTAN	DIV		
				U S TREASURY MONEY MARKET			
11/14		16,058	29426	DIV 11/14/08	1		16,05
11/14	1,869		29898	FIDELITY SPARTAN	1	1,869.00	
				U S TREASURY MONEY MARKET			
11/19				FIDELITY SPARTAN	DIV		
				U S TREASURY MONEY MARKET			
11/19		1,869	53251	DIV 11/19/08	1		1,86
11/19	350,000		57767	FIDELITY SPARTAN	99.925	349,741.00	
				U S TREASURY MONEY MARKET			
11/19	17,640		62287	U S TREASURY BILL DUE 03/26/2009 3/26/2009	1	17,640.00	
				FIDELITY SPARTAN			
				U S TREASURY MONEY MARKET			
				NEW BALANCE		595,779.59	
				SECURITY POSITIONS	MKT PRICE		
	8,662			AT&T INC	28.560		
	2,318			ABBOTT LABORATORIES	52.390		
	1,586			AMGEN INC	55.540		
				CONTINUED ON PAGE 4			

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York, NY London

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
FAX (212) 838-4041

\*\*DUPLICATES\*\* FOR ACCOUNT RICHARD E WINTER  
LORI B MYERS ESQ  
PRICEWATERHOUSECOOPERS

Madoff Securities Inc.  
110  
Madison Avenue  
New York, NY 10017

PERIOD ENDING  
11/30/08

YOUR ACCOUNT NUMBER  
1-W0102-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AM TO
	1,342			APPLE INC	92.670		
	7,320			BANK OF AMERICA	16.250		
	3,050			CHEVRON CORP	79.010		
	8,906			CISCO SYSTEMS INC	16.540		
	7,930			CITI GROUP INC	8.290		
	2,928			COCA COLA CO	46.870		
	3,392			COMCAST CORP	17.340		
				CL A			
	2,196			CONOCOPHILIPS	52.520		
	7,686			EXXON MOBIL CORP	80.150		
	15,494			GENERAL ELECTRIC CO	17.170		
	366			GOOGLE	292.960		
	3,660			HEWLETT PACKARD CO	35.280		
	0,418			INTEL CORP	13.800		
	2,074			INTERNATIONAL BUSINESS MACHS	81.600		
	5,490			J.P. MORGAN CHASE & CO	31.660		
	4,026			JOHNSON & JOHNSON	58.580		
	1,708			MCDONALDS CORP	58.750		
	3,172			MERCK & CO	26.720		
	11,590			MICROSOFT CORP	20.220		
	5,856			ORACLE CORPORATION	16.090		
	2,318			PEPSICO INC	56.700		
	9,882			PFIZER INC	16.430		
	3,050			PHILLIP MORRIS INTERNATIONAL	42.160		
	4,392			PROCTER & GAMBLE CO	64.350		

CONTINUED ON PAGE 5

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York, New York

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 331-1343  
Fax (212) 838-1061

\*\*DUPLICATE\*\* FOR ACCOUNT RICHARD E WINTER REVOCABLE TRST  
LORI B MYERS ESQ  
PRICEWATERHOUSECOOPERS

Madoff Securities International  
1219  
Madison Lane  
T119

PERIOD ENDING  
11/30/09

YOUR ACCOUNT NUMBER  
1-W0102-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AM TO
	2,440			QUALCOMM INC	33.570		
	1,830			SCHLUMBERGER LTD	50.740		
	17,640			FIDELITY SPARTAN	1		
	2,562			U S TREASURY MONEY MARKET	26.980		
	1,464			U S BANCORP	57.600		
	350,000			UNITED PARCEL SVC INC	99.971		
				CLASS B			
				U S TREASURY BILL			
				DUE 03/26/2009			
				3/26/2009			
	1,464			UNITED TECHNOLOGIES CORP	48.530		
	4,149			VERIZON COMMUNICATIONS	32.650		
	3,172			WAL-MART STORES INC	55.880		
	5,124			WELLS FARGO & CO NEW	28.890		
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
				5,725,309.88			

Madoff Securities International  
1210  
Madison Ave  
New York, NY 10022

685 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York, NY London

\*\*DUPLICATE\*\* FOR ACCOUNT RICHARD E WINTER  
LORI B MYERS ESQ  
PRICEWATERHOUSECOOPERS  
FAX 212 838-4061

PERIOD ENDING  
11/30/09

YOUR ACCOUNT NUMBER  
1-W0102-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AN TO
				YEAR-TO-DATE SUMMARY DIVIDENDS GROSS PROCEEDS FROM SALES			/38

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York, NY

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

DUPLICATE\*\* FOR ACCOUNT  
RI B MYERS ESQ  
ICEWATERHOUSECOOPERS

RICHARD E WINTER REVOCABLE FST

Affiliated with:  
Maddoff Securities International Limited  
12 Rookery Street  
Mayfair London W.1B 5HT  
Tel: 020 7494 4222

YOUR ACCOUNT NUMBER 1-W0102-4-0	YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]	PAYEE NUMBER 11/30/09	PAGE 1
------------------------------------	----------------------------------------------------	--------------------------	-----------

UGHT CEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			BALANCE FORWARD			
122	122	44431	S E P 100 INDEX	15.800		244,412.00
		40757	NOVEMBER 460 CALL	17.900	217,282.00	192,638.00
	122	34861	S E P 100 INDEX	26		317,078.00
		39186	NOVEMBER 450 PUT	30	366,122.00	
122		43511	DECEMBER 420 PUT	3	35,722.00	
		47836	S E P 100 INDEX	37		451,278.00
	122		NOVEMBER 450 PUT			/685,280.00
			NEW BALANCE			
			SECURITY POSITIONS	MKT PRICE		
	122		S E P 100 INDEX	23.300		
			DECEMBER 430 CALL			
122			S E P 100 INDEX	16.500		
			DECEMBER 420 PUT			
			MARKET VALUE OF SECURITIES			
			LONG			
			SHORT			
			201,300.00			284,260.00-

## **INVESTMENT DOCUMENTS**

Transaction Detail/continued

Settlement Date Reporting

Portfolio period July 01 1998-July 31 1998

Transaction Date	Settlement Date	Description	Principal	Income
07/03/1998	07/03/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/06/1998	07/06/1998	9009000614 WIRE TO CHASE A/C # 140-081-703 BERNARD MADOFF F/B/O DR RICHARD WINTER	-2,000,000.00	[REDACTED]
07/06/1998	07/06/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/20/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/20/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/15/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/20/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/15/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/15/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/20/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/15/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/15/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/20/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/15/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]
07/20/1998	07/20/1998	[REDACTED]	[REDACTED]	[REDACTED]

Transaction Detail continued on next page



### Transaction Detail

Transaction Date	Settlement Date	Description	Principal	Income
		Opening Balance		
05/29/1999	05/29/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
05/31/1999	06/01/1999	[REDACTED]		
05/31/1999	06/01/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
06/01/1999	06/01/1999	[REDACTED]		
06/04/1999	06/04/1999	[REDACTED]		
06/04/1999	06/04/1999	[REDACTED]		
06/04/1999	06/04/1999	FUNDS WIRED TO CHASE MANHATTAN BANK F/C BERNARD MADOFF A/C #140-081-703 F/C DR WINTER A/C #1-W0102-3-0	-1,000,000.00	
06/08/1999	06/08/1999	[REDACTED]		
06/15/1999	06/15/1999	[REDACTED]		

Transaction Detail continued on next page

Jan 23 06 04:47P

Richard E. Winter, MD

561-833-1161

P

PORTFOLIO MANAGEMENT REPORT AS OF 12/31/05

THIS REPORT IS PROVIDED TO ASSIST YOU IN EVALUATING THE PERFORMANCE OF  
ACCOUNT AND SHOULD NOT BE USED FOR INCOME TAX PURPOSES.

RICHARD E. WINTER REVOCABLE TST  
U/A DATED OCTOBER 30, 2002

1-W0102-3

STARTING EQUITY FOR CURRENT YEAR

5,353,188.00CR

CAPITAL ADDITIONS

565,685.90CR

CAPITAL WITHDRAWALS

1,175,000.00-

REALIZED P/L FOR CURRENT YEAR

545,356.33CR

UNREALIZED P/L ON OPEN SECURITY POSITIONS

.73CR

CURRENT CASH BALANCE

5,789,229.70

NET MARKET VALUE OF OPEN SECURITIES POSITIONS

5,789,230.28CR

TOTAL EQUITY

ANNUALIZED RETURN FOR CURRENT YEAR

10.08 %

## **TRANSFER DOCUMENTS**

**JPMorgan Private Bank**

C/F Richard E & Bella Winter  
JPMorgan Trust Company, N.A.  
April 1, 2003 - April 30, 2003

## Detail of Transactions (US\$)

Date	Type	Description	Income	Principal
04/01/2003		Beginning Balance		
04/15/2003	Receipts and Deposits	RICHARD WINTER DEPOSIT CHECK #143264 FROM BERNARD L MADOFF		100,000.00
04/01/2003				
04/01/2003				
04/03/2003				
04/03/2003				
04/04/2003				
04/07/2003				

Continued on next page

100

1700006987

R-N000-04/30/2003-04

**JPMorgan Private Bank**

C/F Richard E & Bella Winter  
JPMorgan Trust Company, N.A.  
August 1, 2003 - August 29, 2003

Detail of Transactions (US\$)

Date	Type	Description	Income	Principal
08/01/2003		Beginning Balance		
08/20/2003				
08/20/2003				
08/20/2003	Receipts and Deposits	DEPOSIT CHECK #146742 BERNARD L MADOFF		100,000.00
08/20/2003				
08/21/2003				
08/29/2003				
08/08/2003				
08/08/2003				
08/14/2003				
08/15/2003				

Continued on next page.

PORTFOLIO MANAGEMENT REPORT AS OF 12/31/04

THIS REPORT IS PROVIDED TO ASSIST YOU IN EVALUATING THE PERFORMANCE OF YOUR  
ACCOUNT AND SHOULD NOT BE USED FOR INCOME TAX PURPOSES.

RICHARD E WINTER REVOCABLE TST 1-W0102-3  
U/A DATED OCTOBER 30, 2002

~~\_\_\_\_\_~~ ~~\_\_\_\_\_~~

STARTING EQUITY FOR CURRENT YEAR	6,146,460.86CR
CAPITAL ADDITIONS	
CAPITAL WITHDRAWALS	886,000.00-
REALIZED P/L FOR CURRENT YEAR	592,727.14CR
UNREALIZED P/L ON OPEN SECURITY POSITIONS	
CURRENT CASH BALANCE	
NET MARKET VALUE OF OPEN SECURITIES POSITIONS	5,853,188.00 NET LONG
TOTAL EQUITY	5,853,188.00CR
ANNUALIZED RETURN FOR CURRENT YEAR	10.51 %

Jan 23 06 04:47P

Richard E. Winter, MD

SGI-833-1161

P

PORTFOLIO MANAGEMENT REPORT AS OF 12/31/05

THIS REPORT IS PROVIDED TO ASSIST YOU IN EVALUATING THE PERFORMANCE OF  
ACCOUNT AND SHOULD NOT BE USED FOR INCOME TAX PURPOSES.

RICHARD E. WINTER REVOCABLE TST 1-W0102-3  
U/A DATED OCTOBER 30, 2002

[REDACTED]

STARTING EQUITY FOR CURRENT YEAR	5,953,188.00CR	
CAPITAL ADDITIONS	565,685.90CR	
CAPITAL WITHDRAWALS	1,175,000.00-	
REALIZED P/L FOR CURRENT YEAR	545,356.33CR	
UNREALIZED P/L ON OPEN SECURITY POSITIONS	.78CR	
CURRENT CASH BALANCE	5,789,229.70	NE
NET MARKET VALUE OF OPEN SECURITIES POSITIONS	5,789,230.28CR	
TOTAL EQUITY		
ANNUALIZED RETURN FOR CURRENT YEAR	10.08 %	

PORTFOLIO MANAGEMENT REPORT AS OF 12/31/06

THIS REPORT IS PROVIDED TO ASSIST YOU IN EVALUATING THE PERFORMANCE OF YOUR  
ACCOUNT AND SHOULD NOT BE USED FOR INCOME TAX PURPOSES.

RICHARD E WINTER REVOCABLE TST 1-W0102-3  
U/A DATED OCTOBER 30, 2002

[REDACTED]  
[REDACTED]

STARTING EQUITY FOR CURRENT YEAR	5,789,230.28CR
CAPITAL ADDITIONS	
CAPITAL WITHDRAWALS	810,000.00-
REALIZED P/L FOR CURRENT YEAR	726,940.14CR
UNREALIZED P/L ON OPEN SECURITY POSITIONS	
CURRENT CASH BALANCE	.92CR
NET MARKET VALUE OF OPEN SECURITIES POSITIONS	5,706,169.50 NET LONG
TOTAL EQUITY	5,706,170.42CR
ANNUALIZED RETURN FOR CURRENT YEAR	13.58 %



Feb 01 06 02:36p

Richard E. Winter, M.D.

561-833-1161

p.1

PORTFOLIO MANAGEMENT REPORT AS OF 12/31/07

THIS REPORT IS PROVIDED TO ASSIST YOU IN EVALUATING THE PERFORMANCE OF YOUR ACCOUNT AND SHOULD NOT BE USED FOR INCOME TAX PURPOSES.

RICHARD E WINTER REVOCABLE TST 1-W0102-3  
U/A DATED OCTOBER 30, 2002

[REDACTED]  
[REDACTED]

STARTING EQUITY FOR CURRENT YEAR	5,706,170.42CR
CAPITAL ADDITIONS	735,000.00-
CAPITAL WITHDRAWALS	607,229.70CR
REALIZED P/L FOR CURRENT YEAR	
UNREALIZED P/L ON OPEN SECURITY POSITIONS	.87CR
CURRENT CASH BALANCE	5,578,399.25 NET LONG
NET MARKET VALUE OF OPEN SECURITIES POSITIONS	5,578,400.12CR
TOTAL EQUITY	
ANNUALIZED RETURN FOR CURRENT YEAR	11.52 %

PORTFOLIO MANAGEMENT REPORT AS OF 3/31/08

THIS REPORT IS PROVIDED TO ASSIST YOU IN EVALUATING THE PERFORMANCE OF YOUR ACCOUNT AND SHOULD NOT BE USED FOR INCOME TAX PURPOSES.

RICHARD E WINTER REVOCABLE TST 1-W0102-3  
U/A DATED OCTOBER 30, 2002

STARTING EQUITY FOR CURRENT YEAR 5,578,400.12CR  
CAPITAL ADDITIONS  
CAPITAL WITHDRAWALS 150,000.00-  
REALIZED P/L FOR CURRENT YEAR 47,447.72CR  
UNREALIZED P/L ON OPEN SECURITY POSITIONS 1,642.50-  
CURRENT CASH BALANCE .84CR  
NET MARKET VALUE OF OPEN SECURITIES POSITIONS 5,454,204.50 NET LONG  
TOTAL EQUITY 5,454,205.34CR  
  
ANNUALIZED RETURN FOR CURRENT YEAR 3.36 %

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343

RNARD L. MADOFF  
ESTMENT SECURITIES LLC  
w York □ London

PLICATION\*\* FOR ACCOUNT RICHARD E WINTER REVOCABLE TST  
[ B MYERS ESQ  
:EWATERHOUSECOOPERS

PERIOD ENDING  
4/30/08

PAGE  
1

YOUR ACCOUNT NUMBER  
1-W0102-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

INT VED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			BALANCE FORWARD			.84
			CHECK			
			FIDELITY SPARTAN			
			U S TREASURY MONEY MARKET			
			DIV 04/02/08			
	14,061	48356	FIDELITY SPARTAN	1		
			U S TREASURY MONEY MARKET			
	50,000	48399	U S TREASURY BILL	99.540		14,061.00
			DUE 7/31/2008			
			7/31/2008			
23,841		48463	FIDELITY SPARTAN	1	23,841.00	
			U S TREASURY MONEY MARKET			
2,136		1195	THE WALT DISNEY CO	31.770	67,945.72	
3,204		4275	VERIZON COMMUNICATIONS	37.090	118,964.36	
11,214		5490	GENERAL ELECTRIC CO	37.470	420,636.58	
3,560		8570	WELLS FARGO & CO NEW	30.220	107,725.20	
267		9785	GOOGLE	446.900	119,332.30	
2,670		12865	WAL-MART STORES INC	52.970	141,535.90	
445		14080	GOLDMAN SACHS GROUP INC	170.520	75,898.40	
6,052		17160	EXXON MOBIL CORP	84.530	511,817.56	
2,759		18375	HEWLETT PACKARD CO	46.270	127,768.93	
1,602		22670	INTERNATIONAL BUSINESS MACHS	115.620	185,287.24	
6,497		26965	INTEL CORP	21.470	139,749.59	
3,204		31260	JOHNSON & JOHNSON	64.820	207,811.28	
			CONTINUED ON PAGE 2			

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343

RNARD L. MADOFF  
ESTMENT SECURITIES LLC  
w York London

JPLICATE\*\* FOR ACCOUNT RICHARD E WINTER REVOCABLE TS T  
I B MYERS ESQ  
DEWATERHOUSECOOPERS

PERIOD ENDING  
5/31/08

PAGE  
1

YOUR ACCOUNT NUMBER  
1-W0102-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

INT VED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			BALANCE FORWARD		76,005.18	
			AT&T INC	DIV		2,705.60
			DIV 4/10/08 5/01/08	DIV		1,377.72
			VERIZON COMMUNICATIONS			
			DIV 4/10/08 5/01/08	CW	40,000.00	7.87
			CHECK	DIV		
			FIDELITY SPARTAN			
			U S TREASURY MONEY MARKET			
			DIV 05/07/08			
			FIDELITY SPARTAN	1		13,932.00
			U S TREASURY MONEY MARKET			
			ABBOTT LABORATORIES	DIV		640.80
			DIV 4/15/08 5/15/08			
			PROCTER & GAMBLE CO	DIV		1,388.40
			DIV 4/18/08 5/15/08			
			CITI GROUP INC	DIV		1,794.24
			DIV 5/05/08 5/23/08			
			WELLS FARGO & CO NEW			100,107.60
			GENERAL ELECTRIC CO	28.160		355,484.36
			WAL-MART STORES INC	31.740		149,520.80
			GOOGLE	56.040		154,289.30
			EXXON MOBIL CORP	577.900		576,574.12
			GOLDMAN SACHS GROUP INC	95.310		81,533.70
			HEWLETT PACKARD CO	183.260		127,531.70
				46.300		
			CONTINUED ON PAGE 2			

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061  
UPPLICATE\*\* FOR ACCOUNT RICHARD E WINTER REVOCABLE TST  
I B MYERS ESQ  
CEWATERHOUSECOOPERS

ARNARD L. MADOFF  
ESTMENT SECURITIES LLC  
New York □ London

PERIOD ENDING  
6/30/08

PAGE  
1

YOUR ACCOUNT NUMBER  
1-W0102-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

GHT IVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			BALANCE FORWARD		84,104.30	
			CHECK		40,000.00	
			INTEL CORP	CH DIV		909.58
			DIV 5/07/08 6/01/08			
			WAL-MART STORES INC	DIV		634.13
			DIV 5/16/08 6/02/08			
			WELLS FARGO & CO NEW	DIV		1,103.60
			DIV 5/09/08 6/01/08			
			PFIZER INC	DIV		2,449.20
			DIV 5/09/08 6/03/08			
			UNITED PARCEL SVC INC	DIV		520.65
			CLASS B			
			DIV 5/19/08 6/03/08			
			FIDELITY SPARTAN	DIV		7.11
			U S TREASURY MONEY MARKET			
	25,535	45747	DIV 06/05/08	1		25,535.00
	5,675,000	45932	FIDELITY SPARTAN			
			U S TREASURY MONEY MARKET			
			U S TREASURY BILL	99.458		5,644,241.50
			DUE 9/18/2008			
			9/18/2008			
675,000		46107	U S TREASURY BILL	99.187	5,628,862.25	
			DUE 11/6/2008			
			11/06/2008			
			CONTINUED ON PAGE 2			

IT ID	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
922		46327	FIDELITY SPARTAN U S TREASURY MONEY MARKET CHEVRON CORP DIV 5/19/08 6/10/08 EXXON MOBIL CORP DIV 5/13/08 6/10/08 INTERNATIONAL BUSINESS MACHS DIV 5/09/08 6/10/08 UNITED TECHNOLOGIES CORP DIV 5/16/08 6/10/08 CHECK FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 06/12/08 MICROSOFT CORP DIV 5/15/08 6/12/08 FIDELITY SPARTAN U S TREASURY MONEY MARKET U S TREASURY BILL DUE 11/6/2008	1	922.00	1,561.95 2,420.80 801.00 341.76  40,000.00  .24  988.79 922.00 49,571.50
10,493		46993	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	10,493.00	
11,732		52386	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	11,732.00	
CONTINUED ON PAGE 3						

Affiliated with  
Maddoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343

ERNARD L. MADOFF  
VESTMENT SECURITIES LLC  
New York □ London

DUPLICATE\*\* FOR ACCOUNT RICHARD E WINTER REVOCABLE TST  
:I B MYERS ESQ  
:CEWATERHOUSECOOPERS

PERIOD ENDING 7/31/08  
YOUR ACCOUNT NUMBER 1-W0102-3-0  
YOUR TAX PAYER IDENTIFICATION NUMBER [REDACTED]  
PAGE 1

IGHT EIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
2,233			BALANCE FORWARD		84,104.66	
			CHECK	CW DIV	20,000.00	8.18
	22,225	54073	FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 07/01/08	1		22,225.00
		55642	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	2,233.00	
			CHECK	CW DIV	20,000.00	1.15
	2,233	58267	FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 07/14/08	1		2,233.00
	25,000	58273	U S TREASURY BILL DUE 11/6/2008	99.447		24,861.75
			11/06/2008			
7,096		58325	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	7,096.00	
			NEW BALANCE			
7,096			SECURITY POSITIONS FIDELITY SPARTAN U S TREASURY MONEY MARKET	MKT PRICE 1	84,104.58	
			CONTINUED ON PAGE 2			

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-1061

RICHARD L. MADOFF  
ESTMENT SECURITIES LLC  
New York □ London

APPLICATE\*\* FOR ACCOUNT RICHARD E WINTER REVOCABLE TRST  
I B MYERS ESQ  
CEWATERHOUSECOOPERS

PERIOD ENDING  
8/31/08

PAGE  
1

YOUR ACCOUNT NUMBER  
1-W0102-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

INT VED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			BALANCE FORWARD		84,104.58	
			CHECK	CW DIV	40,000.00	5.81
			FIDELITY SPARTAN			
			U S TREASURY MONEY MARKET			
			DIV 08/04/08			
			FIDELITY SPARTAN			
			U S TREASURY MONEY MARKET			
			U S TREASURY BILL			
			DUE 11/6/2008			
			11/06/2008			
			FIDELITY SPARTAN			
			U S TREASURY MONEY MARKET			
			PFIZER INC			
			AMERICAN INTL GROUP INC			
			PROCTER & GAMBLE CO			
			AMGEN INC			
			PHILLIP MORRIS INTERNATIONAL			
			BANK OF AMERICA			
			QUALCOMM INC			
			CITI GROUP INC			
			SCHLUMBERGER LTD			
			CONOCOPHILIPS			
			AT&T INC			
			CISCO SYSTEMS INC			
			CONTINUED ON PAGE 2			
16,879		76025		1	16,879.00	
7,812		800		19.800	154,989.60	
3,069		1693		24.880	76,478.72	
3,534		5109		69.490	245,718.66	
1,302		6002		63.040	82,130.08	
2,418		9418		55.110	133,351.98	
5,487		10311		32.070	176,187.09	
1,860		13727		55.530	103,359.80	
6,417		14620		19.300	124,104.10	
1,395		18036		92.190	128,660.05	
1,767		18929		81.290	143,709.43	
7,068		22345		31	219,390.00	
6,882		23238		24.200	166,819.40	
						7,096.00
						50,000
						49,777.00



Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

ERNARD L. MADOFF  
VESTMENT SECURITIES LLC  
New York London  
UPPLICATE\*\* FOR ACCOUNT RICHARD E WINTER REVOCABLE  
Y B MYERS ESQ  
CEWATERHOUSECOOPERS

PERIOD ENDING 8/31/08  
PAGE 3  
YOUR ACCOUNT NUMBER 1-W0102-3-0  
YOUR TAX PAYER IDENTIFICATION NUMBER

GHT INVO	SOLO DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
50,000	16,879	53634	FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 08/14/08	DIV 1		5.41
		60706	FIDELITY SPARTAN U S TREASURY MONEY MARKET U S TREASURY BILL DUE 10/16/2008	99.704 1	49,852.00	16,879.00
38,396		63945	10/16/2008 FIDELITY SPARTAN U S TREASURY MONEY MARKET CHECK	1	38,396.00	
			FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 08/15/08	CW DIV	40,000.00	1.47
	38,396	65288	FIDELITY SPARTAN U S TREASURY MONEY MARKET U S TREASURY BILL DUE 10/16/2008	1		38,396.00
	25,000	65301		99.716 1		24,929.00
23,327		65380	10/16/2008 FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	23,327.00	
			NEW BALANCE		129,488.74	
7,068			SECURITY POSITIONS AT&T INC	MKT PRICE 31.990		
			CONTINUED ON PAGE 4			

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

ERNARD L. MADOFF  
ESTMENT SECURITIES LLC  
New York London

UPPLICATE\*\* FOR ACCOUNT RICHARD E WINTER  
I B MYERS ESQ  
CEWATERHOUSECOOPERS

PERIOD ENDING  
10/31/08

PAGE  
1

YOUR ACCOUNT NUMBER  
1-W0102-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

3MT MED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			BALANCE FORWARD		344,411.95	
			COCA COLA CO	DIV		883.50
			DIV 9/15/08 10/01/08	DIV		230.64
			HEWLETT PACKARD CO	DIV		954.18
			DIV 9/10/08 10/01/08	DIV		292.95
			MERCK & CO	DIV		1,305.72
			DIV 9/05/08 10/01/08	DIV		
			SCHLUMBERGER LTD	DIV		
			DIV 9/03/08 10/03/08	DIV		
			PHILLIP MORRIS INTERNATIONAL	DIV		
			DIV 9/15/08 10/10/08	DIV		
			CHECK	CW	30,000.00	89.44
			FIDELITY SPARTAN	DIV		
			U S TREASURY MONEY MARKET			
			DIV 10/15/08			
	120,606	3994	FIDELITY SPARTAN	1		120,606.00
90,695			U S TREASURY MONEY MARKET	1	90,695.00	
3,667		4030	FIDELITY SPARTAN	1	3,667.00	
		9554	U S TREASURY MONEY MARKET	1		
			FIDELITY SPARTAN			
			U S TREASURY MONEY MARKET			
			NEW BALANCE		344,411.52	
			SECURITY POSITIONS			
94,362			FIDELITY SPARTAN	MKT PRICE		
			U S TREASURY MONEY MARKET	1		
			CONTINUED ON PAGE 2			

885 Third Avenue  
New York, NY 10022  
212 230-2424  
800 334-1343  
FAX 212 838-1061

BERNARD L. MADOFF  
INVESTMENT SECURITIES LLC  
New York - London

DUPLICATE FOR ACCOUNT RICHARD F. WINTER  
LORI B. MYERS ESQ  
PRICEWATERHOUSECOOPERS

Mail to: Securities and Exchange Commission  
1200 Pennsylvania Avenue, N.E.  
Washington, D.C. 20549  
Telephone: (202) 955-2800

YOUR ACCOUNT NUMBER  
1-W0102-3-0

PERIOD ENDING  
11/30/08

PAGE  
3

YOUR TAX PAYER IDENTIFICATION NUMBER  
[REDACTED]

DATE	BOUGHT RECEIVED	SOLO DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDIT TO YOUR ACCOUNT
11/14				CHECK	CW	30,000.00	
11/14				FIDELITY SPARTAN	DIV		
				U S TREASURY MONEY MARKET			
11/14		16,058	29426	DIV 11/14/08	1		16.05
				FIDELITY SPARTAN			
11/14	1,869		29898	U S TREASURY MONEY MARKET	1	1,869.00	
				FIDELITY SPARTAN			
11/19				U S TREASURY MONEY MARKET			
				FIDELITY SPARTAN			
11/19		1,869	53251	DIV 11/19/08	1		1.86
				FIDELITY SPARTAN			
11/19	350,000		57757	U S TREASURY MONEY MARKET	99.925	349,741.00	
				U S TREASURY BILL			
				DUE 03/26/2009			
11/19	17,640		62287	3/26/2009 FIDELITY SPARTAN	1	17,640.00	
				U S TREASURY MONEY MARKET			
				NEW BALANCE			
				SECURITY POSITIONS			
				AT&T INC	MKT PRICE		
				ABBOTT LABORATORIES	28.560		
				AMGEN INC	52.390		
					58.540		
				CONTINUED ON PAGE 4		685,279.59	